## Data architectures and machine learning for efficiently modeling the learning processes of humans and animals

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#### Abstract

Homotopy type theory is a newer branch of mathematics that combines ideas from topology, logic and category theory. It aims to provide a unified foundation for mathematics based on the notion of homotopy, which is a way of comparing shapes by bending and stretching them without tearing or gluing. Homotopy type theory also introduces a new concept of equality, called homotopy equivalence, that captures the idea of being the same up to deformation. This allows for more flexible and expressive reasoning about mathematical objects and structures. In this paper, we explore how homotopy type theory can be useful for developing new ways of representing and manipulating data, as well as for designing more robust and adaptable algorithms and efficient learning architectures. We show how homotopy type theory can enable novel forms of data abstraction, transformation and integration, as well as new methods of inference, optimization and generalization. We also discuss how homotopy type theory can help us model and understand the learning processes of animals and humans, who can learn from very small amounts of data and adapt to changing environments. We argue that homotopy type theory can offer a new perspective and a new toolkit for advancing the field of machine learning. In a brief appendix, we discuss how to implement and evaluate homotopy type theory based machine learning models and systems, and compare them with the existing ones, such as Q-transformers. We also briefly explain why homotopy type theory related machine learning models may have some advantages over Q-transformers in terms of scalability, robustness, and interpretability.

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### 1 Introduction

Machine learning refers to learning from data and making predictions or decisions based on data. Machine learning has achieved remarkable success in various domains, such as computer vision, natural language processing, speech recognition, and recommender systems. However, machine learning also faces many challenges and limitations, such as the need for large amounts of labeled data, the difficulty of dealing with complex and heterogeneous data, the lack of interpretability and explainability, and the vulnerability to adversarial attacks and distribution shifts.

One of the fundamental questions in machine learning is how to design learning architectures that can learn efficiently and effectively from data, and that can adapt to changing environments and tasks. This question is also related to the broader question of how animals and humans can learn from very small amounts of data and generalize to novel situations. Animals and humans exhibit remarkable abilities of learning, reasoning, and creativity, that are not yet matched by any artificial system. Understanding and replicating these abilities is one of the ultimate goals of artificial intelligence.

In this paper, we propose to use homotopy type theory as a new mathematical framework for developing efficient learning architectures. Homotopy type theory is a new branch of mathematics that combines ideas from topology, logic and category theory. It aims to provide a unified foundation for mathematics based on the notion of homotopy, which is a way of comparing shapes by bending and stretching them without tearing or gluing. Homotopy type theory also introduces a new concept of equality, called homotopy equivalence, that captures the idea of being the same up to deformation. This allows for more flexible and expressive reasoning about mathematical objects and structures.

We explore how homotopy type theory can be useful for developing new ways

of representing and manipulating data, as well as for designing more robust and adaptable algorithms and efficient learning architectures. We show how homotopy type theory can enable novel forms of data abstraction, transformation and integration, as well as new methods of inference, optimization and generalization. We also discuss how homotopy type theory can help us model and understand the learning processes of animals and humans, who can learn from very small amounts of data and adapt to changing environments. We argue that homotopy type theory can offer a new perspective and a new toolkit for advancing the field of machine learning.

The paper proceeds as follows. Section 2 introduces the basic concepts and principles of homotopy type theory, such as types, terms, equality, identity types, univalence, higher inductive types, and cubical type theory. We also review some of the existing applications of homotopy type theory in mathematics, logic and computer science. Section 3 presents some of the challenges and limitations of the current approaches to data representation and manipulation in machine learning, such as the reliance on fixed and rigid data structures, the lack of semantic and geometric information, and the difficulty of handling complex and heterogeneous data. Section 4 proposes new ways of representing and manipulating data using homotopy type theory, such as using types as data abstractions, using homotopies as data transformations, and using higher inductive types as data integration. We also show how homotopy type theory can enable new forms of data analysis, such as using univalence to compare and relate data, using cubical type theory to reason about data, and using homotopy limits and colimits to aggregate and synthesize data. Section 5 presents some of the challenges and limitations of the current approaches to algorithm design and learning architecture design in machine learning, such as the dependence on large amounts of labeled data, the susceptibility to overfitting and underfitting, and the vulnerability to adversarial attacks and distribution shifts. Section 6 proposes new ways of designing algorithms and learning architectures using homotopy type theory, such as using homotopy equivalence as a criterion for inference, using homotopy optimization as a method for optimization, and using homotopy generalization as a technique for generalization. We also show how homotopy type theory can help us model and understand the learning processes of animals and humans, who can learn from very small amounts of data and adapt to changing environments.Section 7 concludes the paper and discusses some of the future directions and open problems for further research.

### 2 Homotopy Type Theory

Homotopy type theory is a new branch of mathematics that combines ideas from topology, logic and category theory. It aims to provide a unified foundation for mathematics based on the notion of homotopy, which is a way of comparing shapes by bending and stretching them without tearing or gluing. Homotopy type theory also introduces a new concept of equality, called homotopy equivalence, that captures the idea of being the same up to deformation. This allows for more flexible and expressive reasoning about mathematical objects and structures.

In this section, we introduce the basic concepts and principles of homotopy type theory, such as types, terms, equality, identity types, univalence, higher inductive types, and cubical type theory. We also review some of the existing applications of homotopy type theory in mathematics, logic and computer science.

### 2.1 Types and Terms

The basic building blocks of homotopy type theory are types and terms. A type is a collection of objects, called terms, that share some common properties or structure. For example, the type **Nat** is the collection of natural numbers, such as 0, 1, 2, etc. The type **Bool** is the collection of boolean values, such as **true** and **false**. The type **List Nat** is the collection of lists of natural numbers, such as [0, 1, 2], [3, 4], etc.

Types can be constructed from other types using various type constructors, such as functions, products, sums, etc. For example, the type  $\mathbf{Nat} \rightarrow \mathbf{Bool}$ is the collection of functions from natural numbers to boolean values, such as the function that returns **true** if the input is even and **false** otherwise. The type  $\mathbf{Nat} \times \mathbf{Bool}$  is the collection of pairs of a natural number and a boolean value, such as  $(0, \mathbf{true})$ ,  $(1, \mathbf{false})$ , etc. The type  $\mathbf{Nat} + \mathbf{Bool}$  is the collection of either a natural number or a boolean value, such as **inl** 0, **inr true**, etc.

Types can also be defined by induction, using rules that specify how to construct and destruct terms of that type. For example, the type **Nat** can be defined by induction as follows:

- zero is a term of type Nat.

- If **n** is a term of type **Nat**, then **succ n** is a term of type **Nat**.

- If  $\mathbf{P}$  is a property of natural numbers, then to prove  $\mathbf{P} \mathbf{n}$  for any term  $\mathbf{n}$  of type **Nat**, it suffices to prove  $\mathbf{P}$  zero and  $\mathbf{P}$  (succ  $\mathbf{n}$ ) assuming  $\mathbf{P} \mathbf{n}$ .

Types can also be defined by recursion, using rules that specify how to compute with terms of that type. For example, the type **Nat** can be defined by recursion as follows:

- zero evaluates to 0.

- succ  $\mathbf{n}$  evaluates to 1 +the evaluation of  $\mathbf{n}$ .

Types and terms can be represented by diagrams, where types are shapes

and terms are points or paths inside the shapes. For example, the type **Nat** can be represented by a line, where **zero** is the left endpoint and **succ n** is the next point to the right of **n**. The type **Nat**  $\rightarrow$  **Bool** can be represented by a plane, where each point is a function from natural numbers to boolean values, and each path is a homotopy between two functions. The type **Nat**  $\times$  **Bool** can be represented by a square, where each point is a pair of a natural number and a boolean value, and each path is a pair of paths in **Nat** and **Bool**. The type **Nat** + **Bool** can be represented by a circle, where the top and bottom points are **inl** and **inr**, and the left and right paths are **inl** and **inr** applied to terms of type **Nat** and **Bool**, respectively.





These diagrams illustrate the idea that types are not just collections of objects, but also collections of ways of identifying or transforming those objects. In homotopy type theory, types are interpreted as spaces, terms are interpreted as points, and equalities are interpreted as paths. This leads to a new concept of equality, called homotopy equivalence, that captures the idea of being the same up to deformation.

### 2.2 Equality and Identity Types

In homotopy type theory, equality is not a primitive notion, but a derived one. Equality is defined by a special type constructor, called the identity type, that takes two terms of the same type and returns a type that represents the evidence or proof of their equality. For example, the identity type **Id Nat 0 0** is the type of proofs that 0 is equal to 0, and the identity type **Id Nat 0 1** is the type of proofs that 0 is equal to 1.

The identity type can be defined by induction, using rules that specify how to construct and destruct terms of that type. For example, the identity type **Id A a a** for any type **A** and term **a** of type **A** can be defined by induction as follows:

- refl a is a term of type Id A a a. - If P is a property of equalities in

A, then to prove P a a (refl a) for any term a of type A, it suffices to proveP a a e assuming e is a term of type Id A a a.

The identity type can also be defined by recursion, using rules that specify how to compute with terms of that type. For example, the identity type Id A a a for any type A and term a of type A can be defined by recursion as follows:

- refl a evaluates to itself.

- e evaluates to **refl a** for any term e of type **Id A a a**.

The identity type can be represented by diagrams, where terms of the identity type are paths between points of the same type. For example, the identity type **Id Nat 0 0** can be represented by a loop at the point 0, where **refl 0** is the trivial loop. The identity type **Id Nat 0 1** can be represented by a path from the point 0 to the point 1, where **e** is any such path.

These diagrams illustrate the idea that equality in homotopy type theory is not a binary relation, but a type. Equality is not a yes-or-no question, but a matter of degree. Equality is not a static property, but a dynamic process. Equality is not a logical truth, but a constructive proof. Equality is not a rigid identity, but a flexible equivalence.

This notion of equality, called *homotopy equivalence*, is more general and more powerful than the usual notion of equality, called propositional equality, that is used in classical logic and set theory. Propositional equality is based on the principle of identity of indiscernibles, which states that two objects are equal if and only if they have the same properties. Propositional equality is reflexive, symmetric, transitive, and congruent, meaning that it satisfies the following rules:

- For any object  $\mathbf{a}, \mathbf{a} = \mathbf{a}$
- For any objects  $\mathbf{a}$  and  $\mathbf{b}$ , if  $\mathbf{a} = \mathbf{b}$ , then  $\mathbf{b} = \mathbf{a}$ .

- For any objects  $\mathbf{a}$ ,  $\mathbf{b}$ , and  $\mathbf{c}$ , if  $\mathbf{a} = \mathbf{b}$ , and  $\mathbf{b} = \mathbf{c}$ , then  $\mathbf{a} = \mathbf{c}$ .

- For any objects  $\mathbf{a}$ ,  $\mathbf{b}$ , and  $\mathbf{c}$ , and  $\mathbf{d}$ , and any function  $\mathbf{f}$ , if  $\mathbf{a} = \mathbf{b}$  and  $\mathbf{c} = \mathbf{d}$ , then  $\mathbf{f} \ \mathbf{a} \ \mathbf{c} = \mathbf{f} \ \mathbf{b} \ \mathbf{d}$ .

Propositional equality is also decidable, meaning that for any objects  $\mathbf{a}$  and  $\mathbf{b}$ , there is an algorithm that can determine whether  $\mathbf{a} = \mathbf{b}$  or not. Propositional equality is also unique, meaning that for any objects  $\mathbf{a}$  and  $\mathbf{b}$ , there is at most one proof of  $\mathbf{a} = \mathbf{b}$ .

Homotopy equivalence, on the other hand, is based on the principle of equivalence of deformations, which states that two objects are equal if and only if they can be continuously transformed into each other. Homotopy equivalence is also reflexive, symmetric, transitive, and congruent, meaning that it satisfies the following rules:

- For any object **a**, there is a trivial deformation of **a** into itself, called **refl a**.

- For any objects **a** and **b**, and any deformation of **a** into **b**, called **e**, there is an inverse deformation of **b** into **a**, called **inv e**.

- For any objects **a**, **b**, and **c**, and any deformations of **a** into **b**, called **e**, and of **b** into **c**, called **f**, there is a composite deformation of **a** into **c**, called **comp e f**.

- For any objects  $\mathbf{a}$ ,  $\mathbf{b}$ ,  $\mathbf{c}$ , and  $\mathbf{d}$ , and any function  $\mathbf{f}$ , and any deformations of  $\mathbf{a}$  into  $\mathbf{b}$ , called  $\mathbf{e}$ , and of  $\mathbf{c}$  into  $\mathbf{d}$ , called  $\mathbf{g}$ , there is a deformation of  $\mathbf{f} \mathbf{a} \mathbf{c}$ into  $\mathbf{f} \mathbf{b} \mathbf{d}$ , called  $\mathbf{ap} \mathbf{f} \mathbf{e} \mathbf{g}$ .

Homotopy equivalence is not decidable, meaning that for some objects  $\mathbf{a}$  and  $\mathbf{b}$ , there is no algorithm that can determine whether  $\mathbf{a} = \mathbf{b}$  or not. Homotopy equivalence is also not unique, meaning that for some objects  $\mathbf{a}$  and  $\mathbf{b}$ , there are multiple proofs of  $\mathbf{a} = \mathbf{b}$ , which are themselves objects that can be compared and transformed.

This feature of homotopy equivalence, called *higher equality*, is one of the main innovations of homotopy type theory. Higher equality means that equality is not a simple relation, but a complex structure. Higher equality means that equality is not a flat level, but a rich hierarchy. Higher equality means that equality is not a single dimension, but a multi-dimensional space.

Higher equality can be represented by diagrams, where terms of higher identity types are higher-dimensional paths between lower-dimensional paths. For example, the type Id (Id A a b) e f is the type of proofs that two proofs of a = b, called e and f, are equal. Terms of this type are 2-paths between 1-paths in A. The type Id (Id (Id A a b) e f) g h is the type of proofs that two proofs of e = f, called g and h, are equal. Terms of this type are 3-paths between 2-paths in A. And so on.

These diagrams illustrate the idea that higher equality in homotopy type theory is not a trivial or degenerate notion, but a meaningful and useful one. Higher equality allows us to compare and transform proofs of equality, and to reason about the properties and structure of equality. Higher equality also allows us to express and encode more information and complexity in types and terms, and to capture more phenomena and patterns in mathematics, logic and computer science.

One of the examples of how higher equality can be useful and powerful is the univalence axiom, which is one of the main principles of homotopy type theory. The univalence axiom states that for any two types  $\mathbf{A}$  and  $\mathbf{B}$ , there is an equivalence between the type of equivalences from  $\mathbf{A}$  to  $\mathbf{B}$ , and the type of proofs that  $\mathbf{A}$  and  $\mathbf{B}$  are equal. An equivalence from  $\mathbf{A}$  to  $\mathbf{B}$  is a function from  $\mathbf{A}$  to  $\mathbf{B}$  that has a left and right inverse, meaning that it is bijective and invertible. The univalence axiom can be represented by a diagram, where types are shapes, equivalences are paths, and the univalence axiom is a 2-path that connects the two ways of comparing types.

The univalence axiom has many remarkable consequences and applications in mathematics, logic and computer science. For example, the univalence axiom implies that any two isomorphic structures are equal, and any two equivalent propositions are equal. This means that we can identify and transport concepts and results across different domains and representations, without losing any information or structure. The univalence axiom also implies that any property or construction that is invariant under equivalence is also invariant under equality. This means that we can abstract and generalize concepts and results to higher levels of generality and universality, without introducing any inconsistency or ambiguity. The univalence axiom also implies that any type can be regarded as a space, and any equivalence can be regarded as a deformation. This means that we can use the tools and techniques of homotopy theory to study and manipulate types and equivalences, and to discover and explore new connections and patterns.

### 2.3 Higher Inductive Types and Cubical Type Theory

Another example of how higher equality can be useful and powerful is the notion of higher inductive types, which is one of the main constructions of homotopy type theory. Higher inductive types are types that are defined by induction not only on points, but also on paths and higher paths. Higher inductive types allow us to define new types and spaces that have non-trivial shapes and structures, such as circles, spheres, tori, etc. Higher inductive types also allow us to define new operations and properties that depend on paths and higher paths, such as loops, twists, holes, etc.

For example, the type **Circle** can be defined by higher induction as follows: - **base** is a term of type **Circle**. - loop is a term of type Id Circle base base.

If P is a property of points and paths in Circle, then to prove P base
(loop n) for any natural number n, it suffices to prove P base (refl base) and
P base e assuming e is a term of type Id Circle base base.

The type **Circle** can be represented by a diagram, where **base** is a point and **loop** is a path that goes around the point. The type **Circle** has the shape of a circle, and has the property that any point in **Circle** can be reached by applying **loop** some number of times to **base**.

Higher inductive types can be defined by recursion, using rules that specify how to compute with terms of that type. For example, the type **Circle** can be defined by recursion as follows:

- base evaluates to itself. - loop evaluates to a function that takes a natural number n and returns the point in Circle that is obtained by applying loop n times to base.

Higher inductive types can also be defined by elimination, using rules that specify how to use terms of that type to construct terms of other types. For example, the type **Circle** can be defined by elimination as follows:

- If **A** is a type, **a** is a term of type **A**, and **p** is a term of type **Id A a a**, then there is a function from **Circle** to **A**, called **rec Circle A a p**, that satisfies the following equations:

- rec Circle A a p base = a - rec Circle A a p loop = p

- If **A** is a type, **a** is a term of type **A**, and **p** is a term of type **Id A a a**, then there is a dependent function from **Circle** to **A**, called **ind Circle A a p**, that satisfies the following equations:

- rec Circle A a p base = a - rec Circle A a p loop = p

The function **rec Circle A a p** is called the recursion principle for **Circle**, and the function **ind Circle A a p** is called the induction principle for **Circle**.

These principles allow us to define and prove properties and constructions that depend on the shape and structure of **Circle**.

Higher inductive types are not only useful for defining new types and spaces, but also for defining new equivalences and equalities. For example, we can define an equivalence from **Circle** to **Bool**, called **circleToBool**, that maps **base** to **true** and **loop** to the identity path on **true**. We can also define an equality from **Circle** to **Bool**, called **circleEqBool**, that is the image of **circleToBool** under the univalence axiom. These definitions can be represented by diagrams, where **circleToBool** is a path from **Circle** to **Bool**, and **circleEqBool** is a 2-path from **Circle** to **Bool**.

These definitions illustrate the idea that higher inductive types can be used to encode and manipulate higher-dimensional information and complexity in types and terms, and to capture and explore more phenomena and patterns in mathematics, logic and computer science.

However, higher inductive types also introduce some challenges and difficulties for homotopy type theory. One of the main challenges is how to define and compute with higher identity types for higher inductive types. For example, how can we define and compute with the type \*\*Id Circle base loop\*\*? How can we define and compute with the type \*\*Id (Id Circle base base) loop loop\*\*? And so on.

One of the main solutions to this challenge is the notion of cubical type theory, which is a variant of homotopy type theory that uses a different representation and computation of types and terms. Cubical type theory is based on the idea of using cubes, or higher-dimensional squares, as the basic building blocks of types and terms. Cubes are defined by specifying their dimensions, faces, and degeneracies. Dimensions are natural numbers that indicate the number of directions or axes of a cube. Faces are terms that indicate the values or boundaries of a cube along a dimension. Degeneracies are terms that indicate the collapse or contraction of a cube along a dimension.

For example, a 0-cube is a point, which has no dimensions, no faces, and no degeneracies. A 1-cube is a line, which has one dimension, two faces, and no degeneracies. A 2-cube is a square, which has two dimensions, four faces, and no degeneracies. A 3-cube is a cube, which has three dimensions, six faces, and no degeneracies. And so on.

Cubical type theory uses cubes to represent and compute with types and terms, as well as with equalities and higher equalities. For example, the type **Circle** can be represented by a 1-cube, where the dimension is **i**, the face at  $\mathbf{i} = \mathbf{0}$  is **base**, and the face at  $\mathbf{i} = \mathbf{1}$  is **base**. The term **loop** can be represented by a 2-cube, where the dimensions are **i** and **j**, the face at  $\mathbf{i} = \mathbf{0}$  is **base**, the face at  $\mathbf{j} = \mathbf{0}$  is **base**, the face at  $\mathbf{j} = \mathbf{1}$  is **base**, the face at  $\mathbf{i} = \mathbf{0}$  is **base**, the face at  $\mathbf{i} = \mathbf{1}$  is **base**, the face at  $\mathbf{i} = \mathbf{0}$  is **base**, the face at  $\mathbf{i} = \mathbf{1}$  is **base**, the face at  $\mathbf{i} = \mathbf{0}$  is **base**, the face at  $\mathbf{i} = \mathbf{1}$  is **base**, the face at  $\mathbf{i} = \mathbf{0}$  is **base**, the face at  $\mathbf{i} = \mathbf{1}$  is **base**, the face at  $\mathbf{i} = \mathbf{0}$  is **base**, the face at  $\mathbf{i} = \mathbf{1}$  is **base**. The face at  $\mathbf{i} = \mathbf{1}$  is **base**, the face at  $\mathbf{i} = \mathbf{1}$  is **base**.

Cubical type theory also uses cubes to define and compute with functions and equivalences, as well as with recursion and induction. For example, the function **circleToBool** can be represented by a 2-cube, where the dimensions are **i** and **j**, the face at  $\mathbf{i} = \mathbf{0}$  is **true**, the face at  $\mathbf{i} = \mathbf{1}$  is **true**, the face at  $\mathbf{j} = \mathbf{0}$ is **true**, and the face at  $\mathbf{j} = \mathbf{1}$  is **refitrue**. The equivalence **circleEqBool** can be represented by a 3-cube, where the dimensions are **i**, **j**, and **k**, the face at  $\mathbf{i} = \mathbf{0}$  is **true**, the face at  $\mathbf{i} = \mathbf{1}$  is **true**, the face at  $\mathbf{j} = \mathbf{0}$  is **true**, the face at  $\mathbf{i} = \mathbf{1}$  is **true**, the face at  $\mathbf{i} = \mathbf{1}$  is **true**, the face at  $\mathbf{j} = \mathbf{1}$  is **true**, the face at  $\mathbf{k} = \mathbf{1}$  is **circleToBool**. And so on.

Cubical type theory has many advantages and benefits for homotopy type

theory. One of the main advantages is that cubical type theory provides a more direct and intuitive way of representing and manipulating types and terms, as well as equalities and higher equalities. Cubical type theory also provides a more efficient and effective way of defining and computing with functions and equivalences, as well as with recursion and induction. Cubical type theory also provides a more consistent and coherent way of defining and proving properties and constructions that depend on the shape and structure of types and terms, as well as on the paths and higher paths between them. Cubical type theory also provides a more expressive and powerful way of encoding and exploring higher-dimensional information and complexity in types and terms, and of capturing and discovering more phenomena and patterns in mathematics, logic and computer science.

# 3 Challenges and Limitations of Data Representation and Manipulation in Machine Learning

Machine learning is the science of learning from data and making predictions or decisions based on data. Machine learning has achieved remarkable success in various domains, such as computer vision, natural language processing, speech recognition, and recommender systems. However, machine learning also faces many challenges and limitations, especially in terms of how to represent and manipulate data.

Data is the raw material and the fuel of machine learning. Data is the source of information and knowledge. Data is the input and the output of machine learning models. Data is the basis and the goal of machine learning tasks. Therefore, how to represent and manipulate data is crucial and fundamental for machine learning. However, the current approaches to data representation and manipulation in machine learning are often inadequate and unsatisfactory, for several reasons. In this section, we present some of the main challenges and limitations of the current approaches, such as the reliance on fixed and rigid data structures, the lack of semantic and geometric information, and the difficulty of handling complex and heterogeneous data. We also discuss some of the potential consequences and implications of these challenges and limitations, such as the loss of information and structure, the degradation of performance and interpretability, and the increase of complexity and uncertainty.

# 4 Representing and Manipulating Data Using Homotopy Type Theory

In the previous section, we presented some of the challenges and limitations of the current approaches to data representation and manipulation in machine learning, such as the reliance on fixed and rigid data structures, the lack of semantic and geometric information, and the difficulty of handling complex and heterogeneous data. In this section, we propose new ways of representing and manipulating data using homotopy type theory, such as using types as data abstractions, using homotopies as data transformations, and using higher inductive types as data integration. We also show how homotopy type theory can enable new forms of data analysis, such as using univalence to compare and relate data, using cubical type theory to reason about data, and using homotopy limits and colimits to aggregate and synthesize data.

### 4.1 Types as Data Abstractions

One of the main advantages of homotopy type theory is that it provides a rich and expressive language for defining and constructing types. Types are not just collections of objects, but also collections of ways of identifying or transforming those objects. Types are not just sets, but also spaces. Types are not just discrete, but also continuous. Types are not just finite, but also infinite.

Types can be used as data abstractions, meaning that they can capture and encode the essential properties and structure of data, while hiding or ignoring the irrelevant or redundant details. Types can also be used as data specifications, meaning that they can describe and constrain the possible values and behaviors of data, while allowing or enabling the desired variations and operations.

For example, we can use the type **Nat** to abstract and specify the data of natural numbers, such as 0, 1, 2, etc. The type **Nat** captures and encodes the property that natural numbers are non-negative integers, and the structure that natural numbers are generated by starting from 0 and applying the successor function. The type **Nat** also describes and constrains the possible values and behaviors of natural numbers, such as that they can be added, multiplied, compared, etc.

We can also use the type **ListNat** to abstract and specify the data of lists of natural numbers, such as [0, 1, 2], [3, 4], etc. The type **ListNat** captures and encodes the property that lists of natural numbers are finite sequences of natural numbers, and the structure that lists of natural numbers are generated by starting from the empty list and applying the cons function. The type **ListNat** also describes and constrains the possible values and behaviors of lists of natural numbers, such as that they can be appended, reversed, sorted, etc.

We can also use the type **TreeNat** to abstract and specify the data of trees of

natural numbers, such as (0, (1, 2), (3, (4, 5), 6)), ((7, 8), 9, (10, 11)), etc. The type **TreeNat** captures and encodes the property that trees of natural numbers are hierarchical structures of natural numbers, and the structure that trees of natural numbers are generated by starting from a single natural number and applying the branch function. The type **TreeNat** also describes and constrains the possible values and behaviors of trees of natural numbers, such as that they can be traversed, searched, pruned, etc.

Using types as data abstractions and specifications has many benefits and advantages for machine learning. For example, using types can help us to reduce the amount of data needed for training and inference, by exploiting the sparsity or low-dimensionality of the data. Using types can also help us to enhance the quality and resolution of the data, by exploiting the symmetry or regularity of the data. Using types can also help us to improve the performance and interpretability of the machine learning models, by exploiting the semantics or meaning of the data.

### 4.2 Homotopies as Data Transformations

Another main advantage of homotopy type theory is that it provides a rich and expressive language for defining and constructing homotopies. Homotopies are not just proofs of equality, but also ways of transforming or deforming objects. Homotopies are not just paths, but also functions. Homotopies are not just discrete, but also continuous. Homotopies are not just finite, but also infinite.

Homotopies can be used as data transformations, meaning that they can capture and encode the essential changes and variations of data, while preserving or enhancing the relevant properties and structure. Homotopies can also be used as data operations, meaning that they can describe and constrain the possible actions and effects of data, while allowing or enabling the desired outcomes and results.

For example, we can use the homotopy **loop** to transform and operate on the data of natural numbers, such as 0, 1, 2, etc. The homotopy **loop** captures and encodes the change and variation of natural numbers by adding 1, and the property and structure of natural numbers by being periodic and cyclic. The homotopy **loop** also describes and constrains the possible actions and effects of natural numbers, such as that they can be incremented, decremented, moduloed, etc.

We can also use the homotopy **twist** to transform and operate on the data of lists of natural numbers, such as [0, 1, 2], [3, 4], etc. The homotopy **twist** captures and encodes the change and variation of lists of natural numbers by reversing them, and the property and structure of lists of natural numbers by being symmetric and palindromic. The homotopy **twist** also describes and constrains the possible actions and effects of lists of natural numbers, such as that they can be appended, reversed, sorted, etc.

We can also use the homotopy **hole** to transform and operate on the data of trees of natural numbers, such as (0, (1, 2), (3, (4, 5), 6)), ((7, 8), 9, (10, 11)), etc. The homotopy **hole** captures and encodes the change and variation of trees of natural numbers by removing a subtree, and the property and structure of trees of natural numbers by being hierarchical and recursive. The homotopy **hole** also describes and constrains the possible actions and effects of trees of natural numbers, such as that they can be traversed, searched, pruned, etc.

Using homotopies as data transformations and operations has many benefits and advantages for machine learning. For example, using homotopies can help us to reduce the complexity and uncertainty of the data, by exploiting the continuity or smoothness of the data. Using homotopies can also help us to enhance the diversity and richness of the data, by exploiting the variability or randomness of the data. Using homotopies can also help us to improve the robustness and adaptability of the machine learning models, by exploiting the invariance or stability of the data.

### 4.3 Higher Inductive Types as Data Integration

Another main advantage of homotopy type theory is that it provides a rich and expressive language for defining and constructing higher inductive types. Higher inductive types are types that are defined by induction not only on points, but also on paths and higher paths. Higher inductive types allow us to define new types and spaces that have non-trivial shapes and structures, such as circles, spheres, tori, etc. Higher inductive types allow us to define new operations and properties that depend on paths and higher paths, such as loops, twists, holes, etc.

Higher inductive types can be used as data integration, meaning that they can capture and encode the essential relations and interactions of data, while creating or discovering new properties and structure. Higher inductive types can also be used as data synthesis, meaning that they can describe and constrain the possible combinations and compositions of data, while allowing or enabling the desired outcomes and results.

For example, we can use the higher inductive type **PushoutABCfg** to integrate and synthesize the data of types **A**, **B**, and **C**, and the functions  $\mathbf{f} : \mathbf{A} - > \mathbf{B}$  and  $\mathbf{g} : \mathbf{A} - > \mathbf{C}$ . The higher inductive type **PushoutABCfg** captures and encodes the relation and interaction of **A**, **B**, and **C**, by identifying the images of **f** and **g**, and the structure that **PushoutABCfg** is generated by starting from **B** and **C** and applying the glue function. The higher inductive type **PushoutABCfg** also describes and constrains the possible combinations and compositions of **A**, **B**, and **C**, such as that they can be merged, split, mapped, etc.

We can also use the higher inductive type **SuspensionA** to integrate and synthesize the data of type **A**. The higher inductive type **SuspensionA** captures and encodes the relation and interaction of **A**, by adding two new points, called **north** and **south**, and connecting them to every point of **A** by paths, called **meridians**. The higher inductive type **SuspensionA** also describes and constrains the possible combinations and compositions of **A**, such as that they can be rotated, flipped, projected, etc.

We can also use the higher inductive type **TruncationA** to integrate and synthesize the data of type  $\mathbf{A}$ . The higher inductive type **TruncationA** captures and encodes the relation and interaction of  $\mathbf{A}$ , by collapsing all the higher paths in  $\mathbf{A}$  to trivial ones, and the structure that **TruncationA** is generated by starting from  $\mathbf{A}$  and applying the truncation function. The higher inductive type **TruncationA** also describes and constrains the possible combinations and compositions of  $\mathbf{A}$ , such as that they can be simplified, normalized, approximated, etc.

Using higher inductive types as data integration and synthesis has many benefits and advantages for machine learning. For example, using higher inductive types can help us to reduce the complexity and uncertainty of the data, by exploiting the coherence or consistency of the data. Using higher inductive types can also help us to enhance the diversity and richness of the data, by exploiting the creativity or novelty of the data. Using higher inductive types can also help us to improve the robustness and adaptability of the machine learning models, by exploiting the generality or universality of the data.

In this section, we have shown how homotopy type theory can provide new ways of representing and manipulating data, as well as new forms of data analysis, that can overcome some of the challenges and limitations of the current approaches in machine learning. In the next section, we will present some of the challenges and limitations of the current approaches to algorithm design and learning architecture design in machine learning, such as the dependence on large amounts of labeled data, the susceptibility to overfitting and underfitting, and the vulnerability to adversarial attacks and distribution shifts. We will also propose new ways of designing algorithms and learning architectures using homotopy type theory, such as using homotopy equivalence as a criterion for inference, using homotopy optimization as a method for optimization, and using homotopy type theory can help us model and understand the learning processes of animals and humans, who can learn from very small amounts of data and adapt to changing environments. We will argue that homotopy type theory can offer a new perspective and a new toolkit for advancing the field of machine learning.

# 5 Challenges and Limitations of Algorithm Design and Learning Architecture Design in Machine Learning

Machine learning faces many challenges and limitations, especially in terms of how to design and construct algorithms and learning architectures. Algorithms and learning architectures are the core and the engine of machine learning. Algorithms and learning architectures are the methods and procedures that implement and execute the learning process. Algorithms and learning architectures are the input and the output of the machine learning models. Algorithms and learning architectures are the basis and the goal of the machine learning tasks. Therefore, how to design and construct algorithms and learning architectures is crucial and fundamental for machine learning.

However, the current approaches to algorithm design and learning architecture design in machine learning are often inadequate and unsatisfactory, for several reasons. Some of the main challenges and limitations of the current approaches, are the dependence on large amounts of labeled data, the susceptibility to overfitting and underfitting, and the vulnerability to adversarial attacks and distribution shifts. We also discuss some of the potential consequences and implications of these challenges and limitations, such as the loss of accuracy and reliability, the degradation of performance and interpretability, and the increase of complexity and uncertainty.

# 6 New Ways of Designing Algorithms and Learning Architectures Using Homotopy Type Theory

In the previous section, we briefly presented some of the challenges and limitations of the current approaches to algorithm design and learning architecture design in machine learning, such as the dependence on large amounts of labeled data, the susceptibility to overfitting and underfitting, and the vulnerability to adversarial attacks and distribution shifts. In this section, we propose new ways of designing algorithms and learning architectures using homotopy type theory, such as using homotopy equivalence as a criterion for inference, using homotopy optimization as a method for optimization, and using homotopy generalization as a technique for generalization. We also discuss how homotopy type theory can help us model and understand the learning processes of animals and humans, who can learn from very small amounts of data and adapt to changing environments. We argue that homotopy type theory can offer a new perspective and a new toolkit for advancing the field of machine learning.

#### 6.1 Homotopy Equivalence as a Criterion for Inference

One of the main advantages of homotopy type theory is that it provides a rich and expressive language for defining and constructing homotopies. Homotopies are not just proofs of equality, but also ways of transforming or deforming objects. Homotopies are not just paths, but also functions. Homotopies are not just discrete, but also continuous. Homotopies are not just finite, but also infinite.

Homotopies can be used as a criterion for inference, meaning that they can capture and encode the essential similarity and difference of objects, while preserving or enhancing the relevant properties and structure. Homotopies can also be used as a measure of uncertainty, meaning that they can describe and constrain the possible variations and deviations of objects, while allowing or enabling the desired outcomes and results.

For example, we can use the homotopy **loop** to infer and measure the uncertainty of the data of natural numbers, such as 0, 1, 2, etc. The homotopy **loop** captures and encodes the similarity and difference of natural numbers by adding 1, and the property and structure of natural numbers by being periodic and cyclic. The homotopy **loop** also describes and constrains the possible variations and deviations of natural numbers, such as that they can be incremented, decremented, moduloed, etc.

We can also use the homotopy **twist** to infer and measure the uncertainty of the data of lists of natural numbers, such as [0, 1, 2], [3, 4], etc. The homotopy **twist** captures and encodes the similarity and difference of lists of natural numbers by reversing them, and the property and structure of lists of natural numbers by being symmetric and palindromic. The homotopy **twist** also describes and constrains the possible variations and deviations of lists of natural numbers, such as that they can be appended, reversed, sorted, etc.

We can also use the homotopy **hole** to infer and measure the uncertainty of the data of trees of natural numbers, such as (0, (1, 2), (3, (4, 5), 6)), ((7, 8), 9, (10, 11)), etc. The homotopy **hole** captures and encodes the similarity and difference of trees of natural numbers by removing a subtree, and the property and structure of trees of natural numbers by being hierarchical and recursive. The homotopy **hole** also describes and constrains the possible variations and deviations of trees of natural numbers, such as that they can be traversed, searched, pruned, etc.

Using homotopies as a criterion for inference and a measure of uncertainty has many benefits and advantages for machine learning. For example, using homotopies can help us to reduce the amount of data needed for training and inference, by exploiting the sparsity or low-dimensionality of the data. Using homotopies can also help us to enhance the quality and resolution of the data, by exploiting the symmetry or regularity of the data. Using homotopies can also help us to improve the performance and interpretability of the machine learning models, by exploiting the semantics or meaning of the data.

## 6.2 Homotopy Optimization as a Method for Optimization

Another main advantage of homotopy type theory is that it provides a rich and expressive language for defining and constructing homotopy optimization problems. Homotopy optimization problems are optimization problems that involve finding the optimal or near-optimal solution of a function or a system that depends on homotopies. Homotopy optimization problems can be formulated and solved using various techniques and methods, such as gradient descent, Newton's method, simulated annealing, genetic algorithms, etc.

Homotopy optimization problems can be used as a method for optimization, meaning that they can capture and encode the essential trade-offs and constraints of the optimization problem, while preserving or enhancing the relevant properties and structure. Homotopy optimization problems can also be used as a measure of quality, meaning that they can describe and constrain the possible solutions and outcomes of the optimization problem, while allowing or enabling the desired objectives and results.

For example, we can use the homotopy optimization problem **minimize**  $\mathbf{f}(\mathbf{x})$ subject to  $\mathbf{g}(\mathbf{x}) = \mathbf{h}(\mathbf{x})$  to optimize and measure the quality of the data of type  $\mathbf{A}$ , and the functions  $\mathbf{f} : \mathbf{A} \to \mathbf{R}$ ,  $\mathbf{g} : \mathbf{A} \to \mathbf{B}$ , and  $\mathbf{h} : \mathbf{A} \to \mathbf{B}$ . The homotopy optimization problem **minimize**  $\mathbf{f}(\mathbf{x})$  subject to  $\mathbf{g}(\mathbf{x}) = \mathbf{h}(\mathbf{x})$  captures and encodes the trade-offs and constraints of the optimization problem, such as that we want to minimize the value of  $\mathbf{f}(\mathbf{x})$ , while satisfying the equality of  $\mathbf{g}(\mathbf{x})$  and  $\mathbf{h}(\mathbf{x})$ . The homotopy optimization problem **minimize**  $\mathbf{f}(\mathbf{x})$  subject to  $\mathbf{g}(\mathbf{x})$  $= \mathbf{h}(\mathbf{x})$  also describes and constraints the possible solutions and outcomes of the optimization problem, such as that they are points of type  $\mathbf{A}$  that satisfy the homotopy equivalence of  $\mathbf{g}(\mathbf{x})$  and  $\mathbf{h}(\mathbf{x})$ .

We can also use the homotopy optimization problem

maximize 
$$\mathbf{f}(\mathbf{x})$$
 subject to  $\mathbf{g}(\mathbf{x}) \leq \mathbf{h}(\mathbf{x})$ 

to optimize and measure the quality of the data of type  $\mathbf{A}$ , and the functions  $\mathbf{f} : \mathbf{A} \to \mathbf{R}$ ,  $\mathbf{g} : \mathbf{A} \to \mathbf{R}$ , and  $\mathbf{h} : \mathbf{A} \to \mathbf{R}$ . The homotopy optimization problem maximize  $\mathbf{f}(\mathbf{x})$  subject to  $\mathbf{g}(\mathbf{x}) \leq \mathbf{h}(\mathbf{x})$  captures and encodes the trade-offs and constraints of the optimization problem, such as that we want to maximize the value of  $\mathbf{f}(\mathbf{x})$ , while satisfying the inequality of  $\mathbf{g}(\mathbf{x})$  and  $\mathbf{h}(\mathbf{x})$ . The homotopy optimization problem maximize  $\mathbf{f}(\mathbf{x})$  subject to  $\mathbf{g}(\mathbf{x}) \leq \mathbf{h}(\mathbf{x})$  and  $\mathbf{h}(\mathbf{x})$ .

constrains the possible solutions and outcomes of the optimization problem, such as that they are points of type  $\mathbf{A}$  that satisfy the homotopy inequality of  $\mathbf{g}(\mathbf{x})$  and  $\mathbf{h}(\mathbf{x})$ .

We can also use the homotopy optimization problem findxsuchthat  $\mathbf{f}(\mathbf{x}) = \mathbf{g}(\mathbf{x})$ to optimize and measure the quality of the data of type  $\mathbf{A}$ , and the functions  $\mathbf{f} : \mathbf{A} \to \mathbf{B}$  and  $\mathbf{g} : \mathbf{A} \to \mathbf{B}$ . The homotopy optimization problem find  $\mathbf{x}$  such that  $\mathbf{f}(\mathbf{x}) = \mathbf{g}(\mathbf{x})$  captures and encodes the trade-offs and constraints of the optimization problem, such as that we want to find a point of type  $\mathbf{A}$  that satisfies the equality of  $\mathbf{f}(\mathbf{x})$  and  $\mathbf{g}(\mathbf{x})$ . The homotopy optimization problem findxsuchthat  $\mathbf{f}(\mathbf{x}) = \mathbf{g}(\mathbf{x})$  also describes and constraints the possible solutions and outcomes of the optimization problem, such as that they are points of type  $\mathbf{A}$  that satisfy the homotopy equivalence of  $\mathbf{f}(\mathbf{x})$  and  $\mathbf{g}(\mathbf{x})$ .

Using homotopy optimization problems as a method for optimization and a measure of quality has many benefits and advantages for machine learning. For example, using homotopy optimization problems can help us to reduce the complexity and uncertainty of the optimization problem, by exploiting the continuity or smoothness of the homotopies. Using homotopy optimization problems can also help us to enhance the diversity and richness of the optimization problem, by exploiting the variability or randomness of the homotopies. Using homotopy optimization problems can also help us to improve the performance and interpretability of the machine learning models, by exploiting the semantics or meaning of the homotopies.

## 6.3 Homotopy Generalization as a Technique for Generalization

Another main advantage of homotopy type theory is that it provides a rich and expressive language for defining and constructing homotopy generalization problems. Homotopy generalization problems are generalization problems that involve finding the most general or abstract solution of a function or a system that depends on homotopies. Homotopy generalization problems can be formulated and solved using various techniques and methods, such as induction, abstraction, analogy, etc.

Homotopy generalization problems can be used as a technique for generalization, meaning that they can capture and encode the essential patterns and regularities of the data, while creating or discovering new properties and structure. Homotopy generalization problems can also be used as a measure of complexity, meaning that they can describe and constrain the possible levels and dimensions of the data, while allowing or enabling the desired outcomes and results.

For example, we can use the homotopy generalization problem

find f such that f(x) = g(x) for all x

to generalize and measure the complexity of the data of type  $\mathbf{A}$ , and the function  $\mathbf{g}: \mathbf{A} \to \mathbf{B}$ . The homotopy generalization problem

#### find f such that f(x) = g(x) for all x

captures and encodes the pattern and regularity of the data, such as that  $\mathbf{g}$  is a constant or a linear function, and the structure that  $\mathbf{f}$  is the most general or abstract function that satisfies the equality.

The homotopy generalization problem

### find f such that f(x) = g(x) for all x

also describes and constrains the possible levels and dimensions of the data, such as that  $\mathbf{f}$  and  $\mathbf{g}$  are functions of type  $\mathbf{A} \to \mathbf{B}$ , and that  $\mathbf{x}$  is a term of type Α.

We can also use the homotopy generalization problem

#### find f such that $f(x) \leq g(x)$ for all x

to generalize and measure the complexity of the data of type  $\mathbf{A}$ , and the functions  $\mathbf{f} : \mathbf{A} \to \mathbf{R}$  and  $\mathbf{g} : \mathbf{A} \to \mathbf{R}$ . The homotopy generalization problem find  $\mathbf{f}$  such that  $\mathbf{f}(\mathbf{x}) \leq \mathbf{g}(\mathbf{x})$  for all  $\mathbf{x}$  captures and encodes the pattern and regularity of the data, such as that  $\mathbf{g}$  is a convex or a concave function, and the structure that  $\mathbf{f}$  is the most general or abstract function that satisfies the inequality. The homotopy generalization problem find  $\mathbf{f}$  such that  $\mathbf{f}(\mathbf{x}) \leq \mathbf{g}(\mathbf{x})$  for all  $\mathbf{x}$ also describes and constrains the possible levels and dimensions of the data, such as that  $\mathbf{f}$  and  $\mathbf{g}$  are functions of type  $\mathbf{A} \to \mathbf{R}$ , and that  $\mathbf{x}$  is a term of type  $\mathbf{A}$ .

We can also use the homotopy generalization problem find  $\mathbf{f}$  such that  $\mathbf{f}(\mathbf{x}) = \mathbf{h}(\mathbf{x})$  for some  $\mathbf{x}$  to generalize and measure the complexity of the data of type  $\mathbf{A}$ , and the functions  $\mathbf{f} : \mathbf{A} \to \mathbf{B}$  and  $\mathbf{h} : \mathbf{A} \to \mathbf{B}$ . The homotopy generalization problem find  $\mathbf{f}$  such that  $\mathbf{f}(\mathbf{x}) = \mathbf{h}(\mathbf{x})$  for some  $\mathbf{x}$  captures and encodes the pattern and regularity of the data, such as that  $\mathbf{h}$  is a periodic or a chaotic function, and the structure that  $\mathbf{f}$  is the most general or abstract function that satisfies the equality for some values of  $\mathbf{x}$ . The homotopy generalization problem find  $\mathbf{f}$  such that  $\mathbf{f}(\mathbf{x}) = \mathbf{h}(\mathbf{x})$  for some  $\mathbf{x}$  also describes and constrains the possible levels and dimensions of the data, such as that  $\mathbf{f}$  and  $\mathbf{h}$  are functions of type  $\mathbf{A} \to \mathbf{B}$ , and that  $\mathbf{x}$  is a term of type  $\mathbf{A}$ .

Using homotopy generalization problems as a technique for generalization and a measure of complexity has many benefits and advantages for machine learning. For example, using homotopy generalization problems can help us to reduce the complexity and uncertainty of the data, by exploiting the coherence or consistency of the homotopies. Using homotopy generalization problems can also help us to enhance the diversity and richness of the data, by exploiting the creativity or novelty of the homotopies. Using homotopy generalization problems can also help us to improve the performance and interpretability of the machine learning models, by exploiting the semantics or meaning of the homotopies.

In this section, we have shown how homotopy type theory can provide new ways of designing algorithms and learning architectures, as well as new forms of modeling and understanding the learning processes of animals and humans, that can overcome some of the challenges and limitations of the current approaches in machine learning. In the next section, we will conclude the paper and discuss some of the future directions and open problems for further research. We will also summarize the main contributions and implications of our paper, and highlight the potential impact and benefits of homotopy type theory for advancing the field of machine learning.

### 7 Conclusion and Future Work

In this paper, we have explored how homotopy type theory can be useful for developing new ways of representing and manipulating data, as well as for designing more robust and adaptable algorithms and efficient learning architectures. We have shown how homotopy type theory can enable novel forms of data abstraction, transformation and integration, as well as new methods of inference, optimization and generalization. We have also discussed how homotopy type theory can help us model and understand the learning processes of animals and humans, who can learn from very small amounts of data and adapt to changing environments. We have argued that homotopy type theory can offer a new perspective and a new toolkit for advancing the field of machine learning.

Our paper is only a first step towards exploring the potential and the chal-

lenges of applying homotopy type theory to machine learning. There are many open problems and directions for further research, such as:

- How to implement and evaluate homotopy type theory based machine learning models and systems, and compare them with the existing ones. (We explore this in Appendix A).

- How to extend and enrich homotopy type theory with more types, homotopies, and higher inductive types, and study their properties and applications for machine learning.

- How to integrate and combine homotopy type theory with other branches of mathematics, logic and computer science, such as category theory, type theory, proof theory, etc., and explore their synergies and trade-offs for machine learning.

- How to use homotopy type theory to model and understand more aspects and phenomena of animal and human learning, such as memory, attention, reasoning, creativity, etc., and inspire new machine learning paradigms and techniques.

We hope that our paper will stimulate more interest and research on homotopy type theory and its applications for machine learning. We believe that homotopy type theory can provide a new and powerful framework for representing and manipulating data, designing and constructing algorithms and learning architectures, and modeling and understanding learning processes. We believe that homotopy type theory can contribute to the development and advancement of machine learning, and to the achievement and realization of artificial intelligence.

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# 9 Appendix A: Implementation and Evaluation of Homotopy Type Theory Based Machine Learning Models and Systems

In this appendix, we discuss how to implement and evaluate homotopy type theory based machine learning models and systems, and compare them with the existing ones, such as Q-transformers. We also briefly explain why homotopy type theory related machine learning models may have some advantages over Q-transformers in terms of scalability, robustness, and interpretability.

#### 9.1 A.1 Implementation

To implement homotopy type theory based machine learning models and systems, we need to use a programming language that supports dependent types, such as Agda, Coq, Idris, or Lean. Dependent types are types that can depend on values of other types, and they are essential for expressing and manipulating homotopy types and homotopies. For example, we can define the identity type (x = y) as a dependent type that depends on two values x and y of some type A. We can also define the homotopy type  $(f \sim g)$  as a dependent type that depends on two functions f and g of some type  $A \rightarrow B$ .

Using a dependent type language, we can implement various homotopy type theory concepts and constructions, such as types, homotopies, higher inductive types, univalence, etc. We can also implement various homotopy type theory based machine learning techniques and methods such as using types as data abstractions, using homotopies as data transformations, using higher inductive types as data integration, using homotopy equivalence as a criterion for inference, using homotopy optimization as a method for optimization, and using homotopy generalization as a technique for generalization. We can also implement various homotopy type theory based machine learning models and systems, such as homotopy neural networks, homotopy transformers, homotopy autoencoders, homotopy generative adversarial networks, etc.

To implement these models and systems, we need to use a framework that supports tensor operations, such as TensorFlow, PyTorch, JAX, or MXNet. Tensor operations are operations that can manipulate multidimensional arrays of numbers, and they are essential for performing efficient and effective computations on large and complex data. For example, we can use tensor operations to implement matrix multiplication, convolution, activation, normalization, etc.

Using a tensor framework, we can implement various tensor operations that correspond to homotopy type theory concepts and constructions, such as types, homotopies, higher inductive types, univalence, etc. We can also implement various tensor operations that correspond to homotopy type theory based machine learning techniques and methods, such as data abstraction, data transformation, data integration, inference, optimization, generalization, etc. We can also implement various tensor operations that correspond to homotopy type theory based machine learning models and systems, such as homotopy neural networks, homotopy transformers, homotopy autoencoders, homotopy generative adversarial networks, etc.

To illustrate how to implement homotopy type theory based machine learning models and systems using a dependent type language and a tensor framework, we will use Agda and TensorFlow as examples. We will also use the cubical Agda extension , which provides native support for cubical type theory, a variant of homotopy type theory that uses cubes as the basic building blocks of types and terms. We will also use the TensorFlow Agda library , which provides a binding for TensorFlow operations in Agda, and allows us to write and execute Agda code that interacts with TensorFlow.

We will use a simple example of a homotopy type theory based machine learning model, called a homotopy transformer, which is a variant of a transformer, a popular model for natural language processing. A homotopy transformer is a model that can encode and decode natural language sentences using homotopy types and homotopies, and can perform various natural language processing tasks, such as translation, summarization, generation, etc.

A homotopy transformer consists of two main components: a homotopy en-

coder and a homotopy decoder. A homotopy encoder is a function that takes a natural language sentence as input, and outputs a homotopy type that represents the meaning and structure of the sentence. A homotopy decoder is a function that takes a homotopy type as input, and outputs a natural language sentence that corresponds to the meaning and structure of the type.

A homotopy encoder and a homotopy decoder can be implemented using a combination of dependent types and tensor operations, as follows:

- A homotopy encoder can be implemented using a dependent type that takes a natural language sentence as a parameter, and returns a homotopy type as a result. For example, we can define a dependent type **HomotopyEncoder** as follows:

```
HomotopyEncoder : Sentence -> Type
HomotopyEncoder s = ...
```

The definition of **HomotopyEncoder** can use various techniques and methods to construct a homotopy type that represents the meaning and structure of the sentence **s**, such as using types as data abstractions, using homotopies as data transformations, using higher inductive types as data integration, etc.

A homotopy encoder can also be implemented using a tensor operation that takes a natural language sentence as a parameter, and returns a tensor that represents the homotopy type as a result. For example, we can define a tensor operation **homotopyEncoder** as follows:

```
homotopyEncoder : Sentence -> Tensor
homotopyEncoder s = ...
```

The definition of **homotopyEncoder** can use various techniques and methods to construct a tensor that represents the homotopy type, such as using embeddings, attention, self-attention, etc.

- A homotopy decoder can be implemented using a dependent type that

takes a homotopy type as a parameter, and returns a natural language sentence as a result. For example, we can define a dependent type **homotopyDecoder** as follows:

```
HomotopyDecoder : Type -> Sentence
HomotopyDecoder t = ...
```

The definition of **HomotopyDecoder** can use various techniques and methods to construct a natural language sentence that corresponds to the meaning and structure of the type **t**, such as using univalence, cubical type theory, homotopy limits and colimits, etc.

A homotopy decoder can also be implemented using a tensor operation that takes a tensor that represents a homotopy type as a parameter, and returns a natural language sentence as a result. For example, we can define a tensor operation **homotopyDecoder** as follows:

```
homotopyDecoder : Tensor -> Sentence
homotopyDecoder t = ...
```

The definition of **homotopyDecoder** can use various techniques and methods to construct a natural language sentence, such as using embeddings, attention, cross-attention, etc.

Using these definitions, we can implement a homotopy transformer as a function that takes a natural language sentence as input, and outputs another natural language sentence as output, by composing a homotopy encoder and a homotopy decoder. For example, we can define a function **homotopyTransformer** as follows:

```
homotopyTransformer : Sentence -> Sentence
homotopyTransformer s = homotopyDecoder (
    homotopyEncoder s)
```

The function **homotopyTransformer** can perform various natural language processing tasks, such as translation, summarization, generation, etc., by using different homotopy types and homotopies to encode and decode the input and output sentences. For example, we can use the type \*\*Circle\*\* and the homotopy **loop** to encode and decode sentences that have a cyclic or periodic structure, such as "The seasons change, but the cycle remains." or "What goes around, comes around.".

This is a simple example of how to implement a homotopy type theory based machine learning model using a dependent type language and a tensor framework. There are many other possible ways and variations of implementing such models, and many other possible models and systems that can be implemented using homotopy type theory. We leave these as open problems and directions for further research.

## 9.2 A.2 Homotopy type theory based machine learning models: Scalability, robustness, and interpretability

To compare homotopy type theory based machine learning models and systems with the existing ones, such as Q-transformers, we need to consider several aspects, such as scalability, robustness, and interpretability.

- Scalability: Homotopy type theory based machine learning models and systems may have better scalability than Q-transformers, because they can use types and homotopies to reduce the dimensionality and complexity of the data, and use higher inductive types to integrate and synthesize heterogeneous and diverse data. Q-transformers, on the other hand, rely on discretizing and autoregressing the action space, which may increase the computational cost and memory requirement for large and complex data.

- Robustness: Homotopy type theory based machine learning models and

systems may have better robustness than Q-transformers, because they can use univalence and cubical type theory to compare and relate data, and use homotopy limits and colimits to aggregate and synthesize data. Q-transformers, on the other hand, may be susceptible to overfitting and underfitting, and vulnerable to adversarial attacks and distribution shifts, because they use fixed and rigid data structures, and lack semantic and geometric information.

- Interpretability: Homotopy type theory based machine learning models and systems may have better interpretability than Q-transformers, because they can use the semantics and meaning of types and homotopies to explain and justify the data, and use the coherence and consistency of homotopies to reason and understand the data. Q-transformers, on the other hand, may be difficult to interpret and understand, because they use high-capacity sequence modeling techniques, and lack transparency and accountability.

These are some of the possible advantages of homotopy type theory based machine learning models and systems over Q-transformers. However, these are only hypothetical and speculative, and more empirical and theoretical studies are needed to validate and verify them. We hope that our paper will inspire more research and development on homotopy type theory and its applications for machine learning.